

# Instantons on $S^4$

$$P_+ = S^3 \subseteq \mathbb{H}^2$$

← before.

↓ right  
 $S^1$ -action

$$S^4 = \mathbb{H}P^1 \\ (= \mathbb{H} \cup \{\infty\})$$

$S^3$  acts by  
isometries

⇒ got  
a connection

A by taking  
orthog. complement  
to  $S^3$ -action

Rk: A is not only

$SU(2) = S^3 = Sp(1)$ -  
invariant, but  
also  $Sp(2)$ -invariant.

↑  
H-lev. isom. of  $\mathbb{H}^2$

We claim that  $A$  is ASD  
(anti-self-dual)

We trivialise  $P_+$   
over  $H \subseteq \mathbb{H}P^1$  via the  
deift map

$$\begin{array}{ccc} H & \xrightarrow{f} & \mathbb{H}P^1 \\ x & \mapsto & [x:1] \end{array}$$

$$\begin{array}{ccc} f^*S^7 & \longrightarrow & S^7 \\ \downarrow \circlearrowleft & & \downarrow \\ H^4 & \xrightarrow{f} & f(H) \subseteq \mathbb{H}P^1 \end{array}$$

We define the section

$$s(x) = \frac{(x, 1)}{(1 + |x|^2)^{3/2}} \in S^7$$

Soln:  $\omega_A = \text{Im}(\bar{x} dx + \bar{y} dy)$   
 $= -\text{Im}(d\bar{x} \cdot x + d\bar{y} \cdot \hat{y})$

(Exercises)

Connection 1-form is

$$a := s^* \omega_A$$

$$= \frac{\text{Im}(\bar{x} dx)}{1 + |x|^2}$$

$$s^* \Omega_A = da + \frac{1}{2} [a, a]$$

$$= \dots = \text{Im} \left( \frac{d\bar{x} \wedge dx}{(1 + |x|^2)^2} \right)$$

$$x = x_0 + i x_1 + j x_2 + k x_3$$



∈ quaternions

$$\begin{cases} i^2 = -1 \\ ij = k \end{cases}$$

⇒

$$\begin{aligned} d\bar{x} \lrcorner dx &= 2i (dx^0 \lrcorner dx^1 - dx^2 \lrcorner dx^3) \\ &+ 2j (dx^0 \lrcorner dx^2 - dx^3 \lrcorner dx^1) \\ &+ 2k (dx^0 \lrcorner dx^3 - dx^1 \lrcorner dx^2) \end{aligned}$$

This is anti-selfdual  
wrt.  $(\mathbb{H}^4, \text{eucl.})$

Outline:

$$(\mathbb{H}^4, \text{eucl.}) \xrightarrow{f} (S^4, \text{round})$$

Claim:  $f$  is a conformal  
 $f^* \text{round} = t^2 \cdot \text{eucl.}$

In exercise class:

$$P_+ = S^2$$

↓

$$S^4$$

$$\omega_{A_+} = \text{Im}(\bar{x}dx + \bar{y}dy)$$

We verified:  $\langle C_2(P), S^4 \rangle = 1$ .

and:  $A_+$  is anti-selfdual  
up to the following result:

Def: A diffeom.

$$f: (M, g) \rightarrow (N, h)$$

between Riem. manifolds is

**conformal**, if  $f^*h$  is  
conformally equiv. to  $g$ ,  
which means  $\exists$

$$t: M \rightarrow \mathbb{R}_+^* \quad \text{s.t.}$$

$$f^*h = t^2 \cdot g$$

Example:  $z \mapsto \lambda \cdot z$  for some  $\lambda > 0$

$$\begin{array}{ccc} \mathbb{R}^4 & \longrightarrow & \mathbb{R}^4 \\ \downarrow \text{dart} & & \downarrow \text{dart} \\ \mathbb{N} & & \mathbb{N} \\ \downarrow \text{stoeogr.} & & \downarrow \text{stoeogr.} \\ S^4 & \longrightarrow & S^4 \end{array}$$

proj.

extends to a conformal diffeo  $f_\lambda : S^4 \rightarrow S^4$

(the dart map is a conformal diffeo onto its image)

Prop<sup>n</sup>: Suppose we have

$$\begin{array}{ccc} \mathcal{P} & \xrightarrow{\phi} & \mathcal{P}' \\ \downarrow & & \downarrow \\ (X, g) & \xrightarrow{f} & (X', g') \end{array}$$

$\phi$  of type  $\text{id}$  ( $\mathcal{G}$ -equiv.)

covering a conf. diffeom.  $f$ .  
 Let  $A'$  be a conn on  $\mathcal{P}'$ .  
 Then  $A'$  is (anti)selfdual  
 $\Leftrightarrow A := \phi^* A'$  is (anti)selfdual

Pf:  $A := \Phi^* A'$

i.e.  $\omega_A = \Phi^* \omega_{A'}$

$\Phi$  gives  $\bar{\Phi}: \text{ad}(P) \xrightarrow{\cong} \text{ad}(P')$   
 $[\rho, x] \mapsto [\Phi \rho, x]$   
 fibrewise isom. covering  $f$ .

and

$$F_A = (\bar{\Phi})^{-1} F_{A'}$$

$$\overset{\cap}{\Omega^2(X, \text{ad}(P))}$$

, more precisely

$$(F_A)_x(\xi, \eta) = (\bar{\Phi}_x)^{-1} F_{A'}(d f(\xi), d f(\eta))$$

On "form-part" this is  $f^*$

Now

$$\left( \begin{array}{l} (F_A)^+ f^* g' \\ (\bar{\Phi})^{-1} F_{A'}^+ g' \end{array} \right) = 0 \iff F_A^+ g = 0 \quad (*)$$

(\*) uses that  $*$   $\int \wedge^2 T^* X^4$

is conf. invariant



Exercise:  $Sp(2) \hookrightarrow S^7$   
with  
fibrewise



$A_f$  is invariant under  
this  $Sp(2)$ -action

$$Sp(2) = \left\{ g = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mid g^* g = id \right\}$$

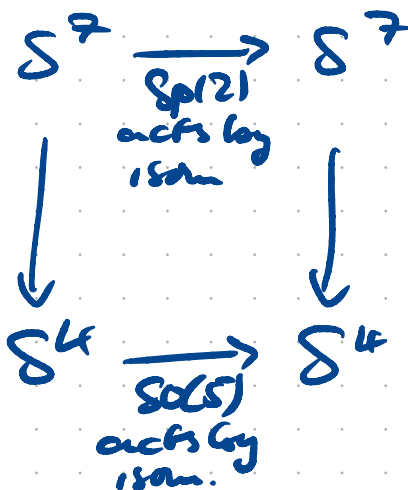
$$\text{where } g^* = \begin{pmatrix} \bar{a} & \bar{c} \\ \bar{b} & \bar{d} \end{pmatrix}$$

group preserving

$$\left\langle \begin{pmatrix} z \\ w \end{pmatrix}, \begin{pmatrix} z' \\ w' \end{pmatrix} \right\rangle_{\mathbb{H}} = \bar{z} z' + \bar{w} w'$$



1a fact  $Sp(2) \cong Spin(5)$



gives  
 $Sp(2)$

$\downarrow 2:1$

$SO(5)$ .

both have  
dim = 10

Then  $Conf(S^4) \cong SO(5, 1)$

Furthermore,  
 $Conf(S^4)$  is  
generated by  
 $SO(5)$  and  
dilations

$\uparrow$   
def. by  
isom. of  
a Lorentz  
metric on  
 $\mathbb{R}^6 = \mathbb{R} \oplus \mathbb{R}^5$

given by  $z \mapsto \lambda \cdot z$   $\lambda > 0$

in stereogr. proj.

$dim(SO(5, 1)) = 15$

Another coincidence:

$$SL(2, \mathbb{H}) = \{ A \in M_2(\mathbb{H}) \mid$$

has dim.  
15

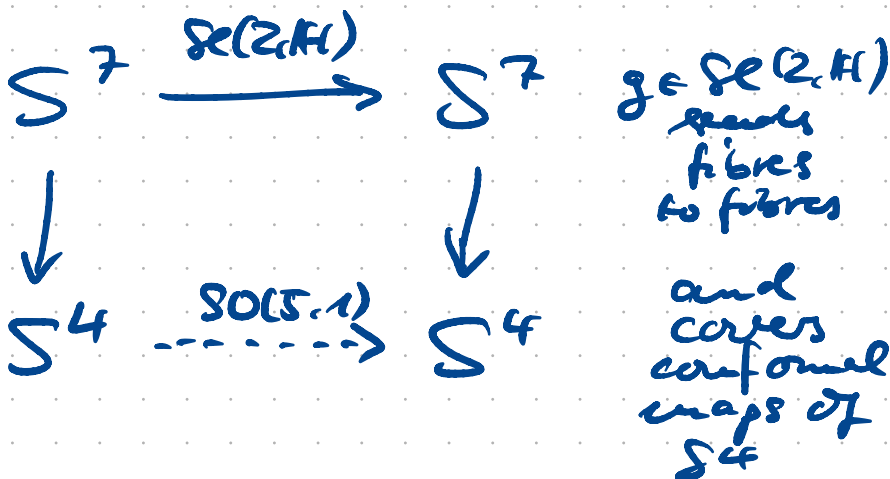
$$\det A = 1$$

↑

Dieudonné  
determinant

(takes value  
in  $\mathbb{H} / [ \mathbb{H}, \mathbb{H} ]$ )

$$\cong \mathbb{R}$$



gives:  $SL(2, \mathbb{H}) \xrightarrow{2:1} SO(5, 1)$

Analogy:

$$\begin{array}{ccc} S^3 & \xrightarrow{(a \ b) \in SL(2, \mathbb{C})} & S^3 \\ \downarrow & & \downarrow \\ \mathbb{C}P^1 = S^2 = (\mathbb{C} \cup \{\infty\}) & \xrightarrow{\text{Möbius transf.}} & S^2 \cong \mathbb{C}P^1 = (\mathbb{C} \cup \{\infty\}) \\ \uparrow & & \uparrow \\ \mathbb{C} & \xrightarrow{z \mapsto \frac{az+b}{cz+d}} & \mathbb{C} \end{array}$$

So  $\text{Conf}(S^2) \cong \text{Bilol}(\mathbb{C}P^1)$

2:1-covered by  $SL(2, \mathbb{C})$

$\curvearrowright S^3$

One can show

$$\text{Stab}(A_+) = \text{Sp}(2)$$

(Exercise 2)  
above

Via the above Prop<sup>n</sup> and  
(\*) we get a

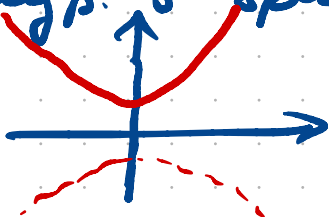
family of ASD conn.  
on  $P_+ \rightarrow S^4$  given by = Conf(S<sup>4</sup>)

$$\text{SU}(2, \mathbb{H}) / \text{Sp}(2) \cong \text{SO}(5, 1) / \text{SO}(5)$$

$$\cong \mathbb{H}^5$$

↑ 5-dim'l  
hyperbolic  
space

(hyperboloid  
model for  
hyp. 5-space



Next: Explicit descr. of  
 a 5-dim'l family parametr.  
 ASD instantons on  $P_+ = S^2 \rightarrow S^4$

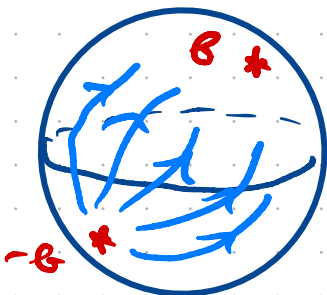
Had  $z \mapsto \lambda \cdot z \quad \lambda \in \mathbb{R}_{>0}$

For  $\{b, -b\}$  antipod. pts on  $S^4$  we

conjugate  $z \mapsto \lambda \cdot z$  with  
 an elt in  $SO(5)$   $\cong$  mapping

$$\begin{aligned} b &\mapsto N \\ -b &\mapsto S \end{aligned}$$

$\Rightarrow$  Get  $\mathcal{T}_{b,\lambda}$



We get a 5-dim'l family

$$C: \begin{cases} S^4 \times \mathbb{R}_{>0}^* \longrightarrow \text{Conf}(S^4) \\ (b, \lambda) \longmapsto \mathcal{T}_{b,\lambda} \end{cases}$$

$b = \text{centre}, \lambda = \text{scale}$

Observe that  $\tau_{b, \lambda} = \tau_{-b, \frac{1}{\lambda}}$ .

$\Rightarrow C$  restricts/ descends to

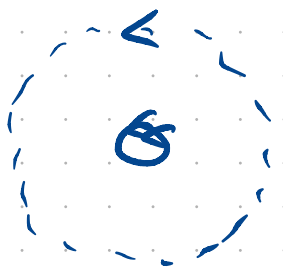
$$S^4 \times (0, 1] / \{1\} \times S^4 \longrightarrow \text{Conf}(S^4)$$

$$(\tau_{b, \lambda} = \text{id} \ \forall b)$$

Picture:



inversion  
→



↓ identify  
with a  
orb.



Def<sup>n</sup>  $M_k(X^4) = \left\{ \begin{array}{l} A \text{ anti-self-dual} \\ \text{conn.} \\ \text{in } P \rightarrow X \text{ with} \\ K(P) = K \end{array} \right\} / \text{Aut}(P)$

The previous map

$$S^4 \times (0,1] / \sim \rightarrow \text{Conf}(S^4)$$

gives rise to a  $\mathcal{B}^5$  worth  
of ASD conn. on  $S^4$

Then [ADHM]: Atiyah - Hitchin -  
Drinfeld - Manin]

The space  $M_k(S^4)$

is homeom to

$$\begin{aligned} \text{Conf}(S^4) / \text{SO}(5) &\cong \text{SO}(5,1) / \text{SO}(5) \\ &\cong \text{Sec}(2, \mathbb{H}) / \text{Sp}(2) \\ &\cong \mathbb{H}(5) / \text{Sp}(2) \end{aligned}$$

via the above construction.

$$[\lambda, \delta] \xrightarrow{\pi} \phi_{\lambda, \delta}^* A_+$$

$$S^4 \times (0, 1] \sim$$

In particular, we get all instantons in two way from  $A_+$ .

$\mathbb{Z}_k$ : [ADHM] have described all  $\sigma_k(S^4)$   $k \geq 0$  by a generalising construction.

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What happens in the local trivialisation

$$\sigma(x) = \frac{(x, 1)}{(1 + |x|^2)^{1/2}}$$

$$\begin{array}{ccc}
 S^7/H_1 & \hookrightarrow & S^7 \\
 \downarrow & & \downarrow \\
 H_1 & \hookrightarrow & S^4
 \end{array}$$



Then  
 $a := \delta^* \omega_{A_+}$

$$\delta^*(\omega_{\mathcal{C}_{1,N}^* A_+})$$

$$= \mathcal{C}_1^*(a)$$

$$\begin{array}{ccc} \mathcal{S}^7 & \xrightarrow{\phi_{4,5}} & \mathcal{S}^7 \\ \downarrow & & \downarrow \\ \mathcal{S}^4 & \xrightarrow{\tau_{4,5}} & \mathcal{S}^4 \end{array}$$

$$\mathcal{T}_1: x \mapsto \lambda x$$

$$\begin{aligned} \mathcal{C}_1^* a &= \frac{\lambda^2 \int_{\mathbb{R}^4} \bar{x} dx}{\cancel{\lambda^2} \cancel{\epsilon} \cancel{\lambda^2} \lambda^2} = \frac{\int_{\mathbb{R}^4} \bar{x} dx}{\frac{1}{\lambda} + \lambda^2} \\ &= \frac{\int_{\mathbb{R}^4} \bar{x} dx}{\frac{1}{\lambda} + \lambda^2} \end{aligned}$$

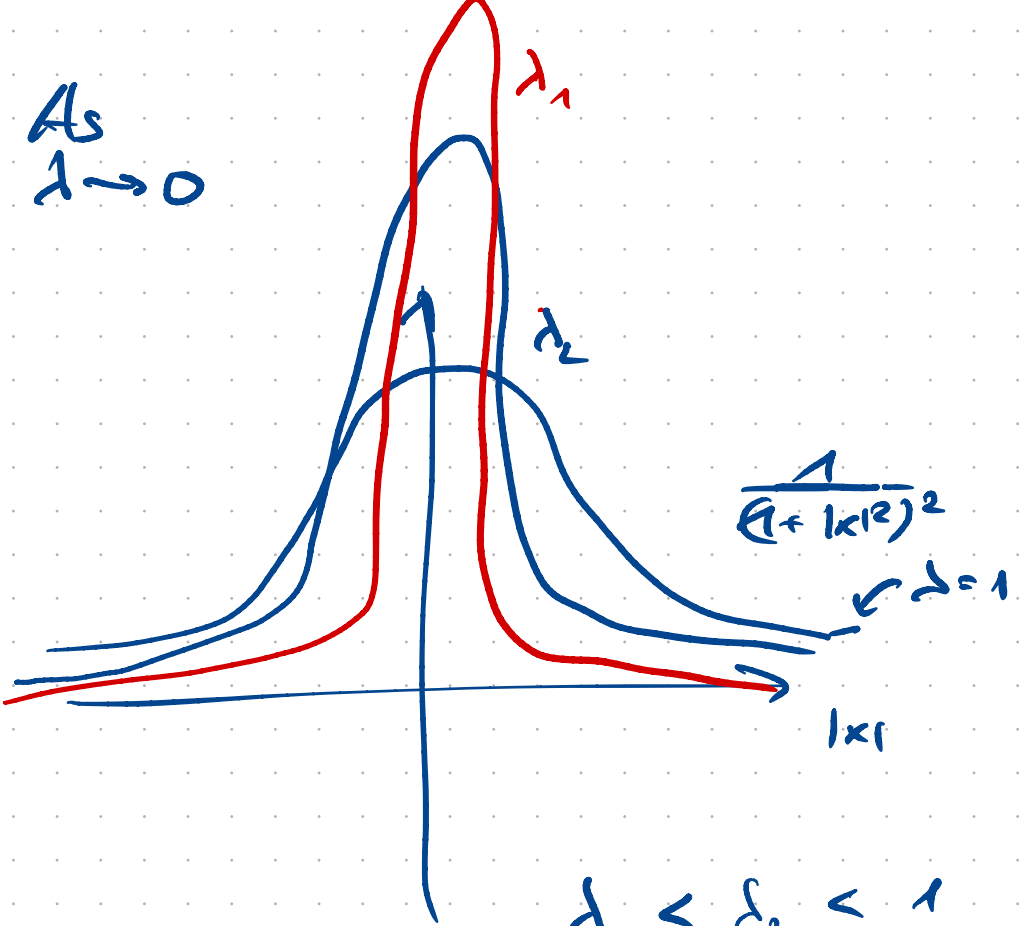
Correction  $\rightarrow 0$  on  $\mathbb{R}^4 - \{0\}$   
 as  $\lambda \rightarrow 0$

$$\mathcal{C}_1^*(\delta^* \mathcal{J}_{A_+}) = \delta^*(\mathcal{J}_{\mathcal{C}_{1,N}^* A_+})$$

$$= \mathcal{C}_1^*(a + \frac{1}{2}[a, a])$$

$$= \frac{\lambda^2 \int_{\mathbb{R}^4} \bar{x} dx}{(\lambda^2 + \cancel{\lambda^2} \lambda^2)^2} = \frac{\lambda^2 \int_{\mathbb{R}^4} \bar{x} dx}{(\lambda^2 + \lambda^2)^2}$$

As  $\lambda \rightarrow 0$



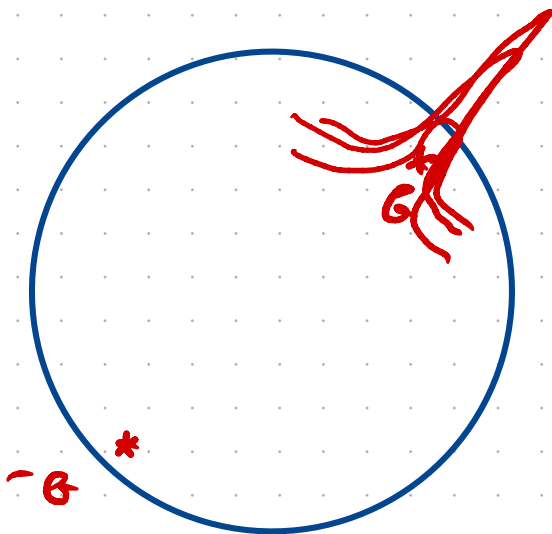
$\Rightarrow$  get a concentration  
of curvature at 0 as  
 $\lambda \rightarrow 0$ .

Because of the shape of  
these curves for diff.

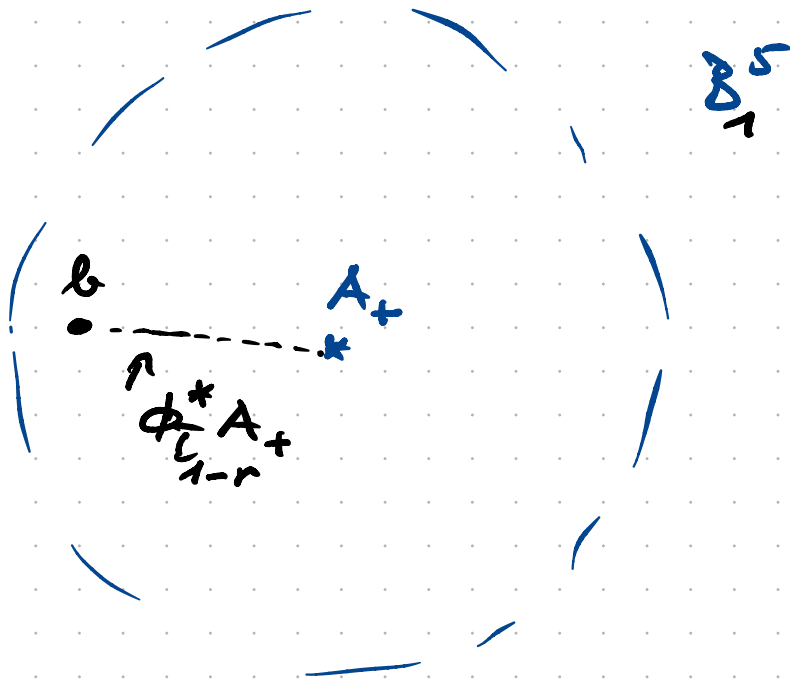
$\lambda$  and concentric at centres

$b \in S^1$ , we see that

in the above family no two  
are gauge equivalent.



Picture for  $\Omega_1(S^4)$ :



$r = \text{radius}$ .

Seems Natural:  $S^4 = \partial B_1^5$   
as a "limit" and  
so

$$\overline{\Omega_1(S^4)} = \overline{B_1^5}$$

seems to have a compactification.

Exercise: For  $\lambda = 0$

$$\tau_\lambda^* a = \frac{(\ln(\bar{x} dx))}{|x|^2}$$

on  $\mathbb{R}^4 - \{0\}$

is gauge-equivalent  
to the trivial (flat)  
connection.

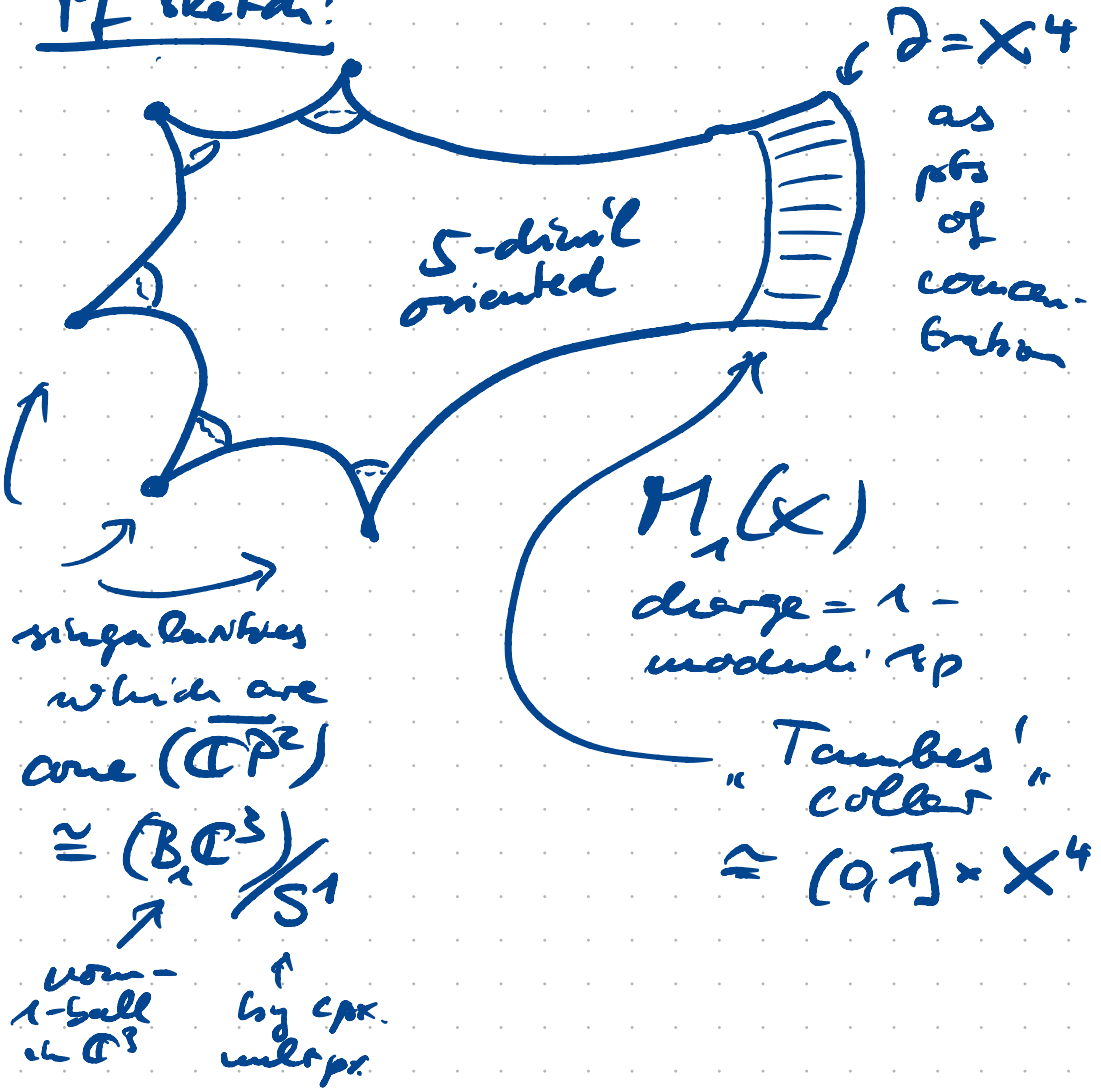
# Outline of proof of Donaldson's theorem

Thm:  $X$  neg. def. smooth

$$\chi(X) = 1$$

Then  $Q_X = \left( \begin{matrix} 1 & & \\ & \ddots & \\ & & -1 \end{matrix} \right)$

PF sketch:



Alg:

$$k := \# \{ \{c, -c\} \in H^2(X; \mathbb{Z}) \mid \langle c^2, [X] \rangle = -1 \}$$

$$\Rightarrow Q_X = \left( \begin{array}{c|c} \begin{matrix} -1 & & \\ & \dots & \\ & & -1 \end{matrix} & \\ \hline k & Q' \end{array} \right)^k$$

Need to show:  $k = b_2(X)$   
( $\Rightarrow$  no  $Q'$ )

By analysis of singularities we see that

$k = \#$  cones on  $\overline{\mathbb{C}P^2}$

Fact  $\text{sign}(Q_X) = b_2^+(X) - b_2^-(X)$   
 $= -b_2(X)$   
is invariant under oriented 5-dim'l cobordism  
for  $X$  neg. def.

But

$$\text{sign}\left(\frac{1}{k} \overline{(\mathbb{P}^2)}\right) = -k$$

$\Pi_k(x)$   
→  
provides  
a univ.  
cobord

$$k = b_2(x).$$



That was dessert.

Have to do:

\* local analysis:

$\Pi_k(x)$  is locally  
a univ. of fin. dim.

$$\dim \Pi_k(x) = 8k + 3(b_1 - b_2 + 1)$$

→ need to work  
with Boreal spaces,  
implicit defn.



- \* global analysis:  
Understand compactification, and "inverses" like Tauber's collar
- \* orientatations
- \* analysis of singularities  
= ASD connections with non-trivial stabiliser under action of  $\text{Aut}(P)$ .

No class on  
Thursday 3 June